

# Müntz-Type Problems for Bernstein Polynomials

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We examine how many of the Bernstein basis functions  $x^k(1-x)^{n-k}$ ,  $k = 0, \dots, n$ , can be omitted such that linear combinations of the remaining polynomials are still dense in the space of continuous functions. © 1994 Academic Press, Inc.

## 1. INTRODUCTION

It is well-known that the Bernstein basis functions  $x^k(1-x)^{n-k}$ ,  $0 \leq k \leq n$ , provide a convenient tool of approximation of continuous functions on  $[0, 1]$ . In this note, following a suggestion of Borwein, we consider the following Müntz-type problem: How many Bernstein basis functions can be omitted so that the approximation of continuous functions is still possible? Let  $R_n \subset \{1, 2, \dots, n-1\}$  be an arbitrary set of integers ( $n = 2, 3, \dots$ ), and consider the following subspace of polynomials of degree at most  $n$ :

$$\mathcal{P}(R_n) = \text{span}\{x^k(1-x)^{n-k} : 0 \leq k \leq n, k \notin R_n\}.$$

(Note that for the density in  $C[0, 1]$ , it is necessary to keep the first and last basis functions  $(1-x)^n$  and  $x^n$ .) Furthermore, we shall say that  $\mathcal{P}(R_n)$  approximates  $C[0, 1]$ , i.e.,

$$\lim_{n \rightarrow \infty} \mathcal{P}(R_n) = C[0, 1], \tag{1}$$

if for every  $f \in C[0, 1]$  there exist  $p_n \in \mathcal{P}(R_n)$ ,  $n = 2, 3, \dots$ , such that  $\|f - p_n\| \rightarrow 0$  as  $n \rightarrow \infty$ . (In what follows,  $\|\cdot\|$  will always mean supremum norm over the interval  $[0, 1]$ .)

This problem is somewhat different from the classical Müntz problem where approximation is required by a nested sequence of basis polynomials. Here, in general,  $\mathcal{P}(R_n)$  and  $\mathcal{P}(R_m)$  are different if  $n \neq m$ .

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Our aim in this paper is to investigate under what conditions on  $R_n$  the relation (1) holds. As a by-product, we shall also settle the problem when  $C[0, 1]$  is replaced by

$$C^*[0, 1] := \{f: f \in C[0, 1], f(0) = f(1) = 0\}.$$

It will turn out that in these problems the “distance”

$$\varrho(R_n) := \min\{r, n - r: r \in R_n\}$$

from  $R_n$  to the endpoints of the interval  $[0, n]$  plays an important role. (Since  $R_n \subset \{1, 2, \dots, n - 1\}$ , we always have  $1 \leq \varrho(R_n) \leq n/2$ .) Another factor which comes naturally into play is  $\#R_n$ , the cardinality of  $R_n$ . Note that  $\#R_n + 2\varrho(R_n) \leq n + 1$  for every  $R_n$ . The problem outlined above possesses different solutions depending on whether  $\varrho(R_n) = O(1)$ , or  $\varrho(R_n) \rightarrow \infty$  as  $n \rightarrow \infty$ . (For simplicity of writing, we do not consider the case when  $\limsup_{n \rightarrow \infty} \varrho(R_n) = \infty$ , since then for corresponding subsequences the corresponding statements hold.)

## 2. THE SPACE $C[0, 1]$

**THEOREM 1.** *Let  $1 \leq \varrho \leq n/2$  be a fixed integer, and let  $\{r_n\}$  ( $r_n \leq n + 1 - 2\varrho$ ) be an increasing sequence of integers. Then in order that for every  $R_n \subset \{1, 2, \dots, n - 1\}$  with  $\#R_n = r_n$  and  $\varrho(R_n) = \varrho$  ( $n = 1, 2, \dots$ ) the relation (1) hold it is necessary and sufficient that  $r_n = o(\sqrt{n})$ .*

**THEOREM 2.** *Let  $\{r_n\}, \{\varrho_n\}$  ( $r_n + 2\varrho_n \leq n + 1$ ) be increasing sequences of integers and assume  $\varrho_n \rightarrow \infty$  as  $n \rightarrow \infty$ . Then in order that for every  $R_n \subset \{1, 2, \dots, n - 1\}$  with*

$$\#R_n = r_n \quad \text{and} \quad \varrho(R_n) = \varrho_n \quad (n = 1, 2, \dots), \tag{2}$$

relation (1) hold, it is sufficient that

$$\limsup_{n \rightarrow \infty} \frac{r_n^2}{n\varrho_n} < \frac{1}{2^{15}e^2}, \tag{3}$$

and necessary that

$$\limsup_{n \rightarrow \infty} \frac{r_n^2}{n\varrho_n} < 53. \tag{4}$$

Summarizing the above statements we can say that when  $\varrho(R_n) = O(1)$  then the condition  $\#R_n = o(\sqrt{n})$  is necessary and sufficient for (1) to hold.

Furthermore, if  $\varrho(R_n) \rightarrow \infty$  as  $n \rightarrow \infty$ , then  $\#R_n = O(\sqrt{n\varrho_n})$  provides the necessary and sufficient condition for (1). The second result also shows that in choosing  $R_n$  we can drop more numbers from the “middle” than from the “ends” of the set  $\{1, \dots, n - 1\}$ .

We shall need some well-known facts concerning the so-called incomplete polynomials. Polynomials of the form  $p_n(x) = \sum_{k=s}^n a_k x^k$  where  $s \geq [n\theta]$ , are called  $\theta$ -incomplete at 0 ( $0 < \theta < 1$ ). It is known that if  $|p_n(\xi)| = \|p_n\|$  for some  $0 \leq \xi \leq 1$  and  $\theta$ -incomplete polynomial  $p_n$ , then  $\xi > \theta^2$ . Furthermore, if  $g_k$  is a sequence of  $\theta$ -incomplete polynomials with  $\deg g_k \rightarrow \infty$  and  $\|g_k\| \leq 1$  then  $\lim_{k \rightarrow \infty} g_k = 0$  uniformly on compact subsets of  $[0, \theta^2)$  (see the survey paper [4] of Lorentz).

We shall need the following:

LEMMA 1. *Let  $0 < \theta < 1$ ,  $n \in \mathbf{N}$ ,  $m \geq (1 - \theta)n$ , and consider arbitrary distinct integers  $0 < \lambda_j \leq n$ ,  $1 \leq j \leq m$ . Then for every  $\theta_0$ ,  $\theta < \theta_0 < 1$ , we have*

$$E_n := \min_{c_j} \max_{\theta_0^2 \leq x \leq 1} \left| 1 - \sum_{j=1}^m c_j x^{\lambda_j} \right| \leq \left( \frac{\theta + \theta_0}{2\theta_0} \right)^{(\theta_0 - \theta)n/2}. \tag{5}$$

*Proof.* With proper numbers  $c_j$ ,  $j = 1, \dots, m$ , and arbitrary  $s > 0$ , we have (cf. von Golitschek [1])

$$\begin{aligned} E_n &\leq \theta_0^{-2s} \max_{\theta_0^2 \leq x \leq 1} \left| x^s - \sum_{j=1}^m c_j x^{s+\lambda_j} \right| \leq \theta_0^{-2s} \max_{0 \leq x \leq 1} \left| x^s - \sum_{j=1}^m c_j x^{s+\lambda_j} \right| \\ &\leq \theta_0^{-2s} \prod_{j=1}^m \frac{\lambda_j}{\lambda_j + 2s} \leq \theta_0^{-2s} \prod_{j=n-m+1}^n \frac{j}{j + 2s} \\ &\leq \theta_0^{-2s} \frac{(n - m + 1) \cdots (n - m + 2s)}{(n + 1) \cdots (n + 2s)} \leq \theta_0^{-2s} \left( \frac{n - m + 2s}{n + 2s} \right)^{2s}, \end{aligned}$$

whence (5) follows by setting  $s = (\theta_0 - \theta)n/4$ .

*Remark.* From Lemma 1 we can easily derive the well-known fact that any function continuous on  $[\theta_0^2, 1]$  can be approximated by  $\theta$ -incomplete polynomials if  $\theta < \theta_0$  (see von Golitschek [2] and Saff and Varga [5]).

Since the proofs of sufficiency and necessity of Theorems 1 and 2 follow similar lines, it will be convenient to verify first the sufficiency and then the necessity of both statements.

*Proof of Sufficiency in Theorems 1 and 2.* Let  $R_n$  be a subset of  $\{1, \dots, n - 1\}$  with (2). We start by approximating an  $f \in C[0, 1]$  via its

$n$ th Bernstein polynomial

$$B_n(f, x) := \sum_{k=0}^n f\left(\frac{k}{n}\right) \binom{n}{k} x^k (1-x)^{n-k}.$$

Now we need to approximate  $B_n(f, x)$  by polynomials from  $\mathcal{P}(R_n)$ . Evidently, it will suffice to provide an approximation for

$$\tilde{B}_n(f, x) := \sum_{\varrho_n \leq k \leq n/2} f\left(\frac{k}{n}\right) \binom{n}{k} x^k (1-x)^{n-k}.$$

(Namely, our considerations can be repeated with the substitution  $x = 1 - y$ .) With an  $a$ ,  $0 < a < 1/4$ , to be determined later we write

$$\tilde{B}_n(f, x) := \sum_{\varrho_n \leq k < an} + \sum_{an \leq k \leq n/2} := B_n^{(1)}(f, x) + B_n^{(2)}(f, x). \quad (6)$$

(In the case  $\varrho_n > an$  the first sum is empty.) Furthermore let  $\{0, 1, \dots, n\} \setminus R_n = \{k_1 < k_2 < \dots < k_m\}$ . By the already quoted result of Golitschek [1], there exist  $c_j$ 's such that

$$\left| y^k - \sum_{k < k_j \leq n/2} c_j y^{k_j} \right| \leq \prod_{k < k_j \leq n/2} \frac{k_j - k}{k_j + k} \quad (7)$$

for every  $0 \leq y \leq 1$ . With these  $c_j$ 's set

$$A_k(x) := x^k (1-x)^{n-k} - \sum_{k < k_j \leq n/2} c_j x^{k_j} (1-x)^{n-k_j}$$

and

$$\tilde{A}_k(y) := y^k - \sum_{k < k_j \leq n/2} c_j y^{k_j}.$$

Using (7), we have for  $0 \leq y \leq 1$  and  $k \in R_n$ ,  $1 \leq k \leq an < n/4$

$$\begin{aligned} |\tilde{A}_k(y)| &\leq \prod_{k < k_j \leq n/2} \frac{k_j - k}{k_j + k} \leq \prod_{k+r_n \leq j \leq n/2} \frac{j - k}{j + k} = \prod_{k+r_n \leq j \leq n/2} \frac{1 - k/j}{1 + k/j} \\ &\leq \exp\left(-2k \sum_{k+r_n \leq j \leq n/2} \frac{1}{j}\right) \leq \exp\left(-2k \int_{k+r_n}^{n/2} \frac{dx}{x}\right) \\ &= \left(\frac{2r_n + 2k}{n}\right)^{2k}. \end{aligned}$$

Now estimating  $\tilde{A}_k(y)$  for  $y > 1$ , we use the well-known estimate for the Chebyshev polynomial of degree  $[n/2]$  outside the interval  $[0, 1]$ :

$$|\tilde{A}_k(y)| \leq (2y - 1 + 2\sqrt{y^2 - y})^{n/2} \left( \frac{2r_n + 2k}{n} \right)^{2k} \quad (y \geq 1).$$

By the last two estimates and the substitution  $y = x/(1-x)$ , we obtain

$$\begin{aligned} |A_k(x)| &= \frac{|\tilde{A}_k(y)|}{(1+y)^n} \leq \left( \frac{2r_n + 2k}{n} \right)^{2k} \max \left( 1, \left[ \frac{2y - 1 + 2\sqrt{y^2 - y}}{(1+y)^2} \right]^{n/2} \right) \\ &= \left( \frac{2r_n + 2k}{n} \right)^{2k} \quad (0 \leq x \leq 1). \end{aligned}$$

Hence, there exists  $B_n^*(x) \in \mathcal{P}(R_n)$  such that for  $0 \leq x \leq 1$  (using Stirling's formula for estimating the binomial coefficients)

$$\begin{aligned} |B_n^{(1)}(x) - B_n^*(x)| &\leq \|f\| \sum_{\varrho_n \leq k < an} \binom{n}{k} |A_k(x)| \\ &\leq \|f\| \sum_{\varrho_n \leq k < an} \left( \frac{4e(k+r_n)^2}{kn} \right)^k \\ &\leq \|f\| \left[ \sum_{\varrho_n \leq k < r_n} \left( \frac{16er_n^2}{n\varrho_n} \right)^k + \sum_{r_n \leq k < an} \left( \frac{16ek}{n} \right)^k \right] \end{aligned}$$

(here, of course, we may have empty sums). Now if

$$a. < \frac{1}{16e}, \quad (8)$$

then we can assure that the second sum in the last estimate tends to zero (we do not restrict generality in assuming that  $r_n \rightarrow \infty$ ). In order for the first sum to converge to 0 as  $n \rightarrow \infty$ , it suffices that either

- (a)  $r_n = o(\sqrt{n})(\varrho_n \geq 1)$ , or
- (b)  $\limsup_{n \rightarrow \infty} r_n^2/n\varrho_n < 1/16e$  and  $\varrho_n \rightarrow \infty$ .

Since  $1/2^{15}e^2 < 1/16e$ , under the assumptions of Theorems 1 and 2

$$\|B_n^{(1)}(x) - B_n^*(x)\| \rightarrow 0 \quad (n \rightarrow \infty),$$

where  $B_n^* \in \mathcal{P}(R_n)$ . To complete the proof of sufficiency, it remains to

approximate  $B_n^{(2)}(f)$  by polynomials from  $\mathcal{P}(R_n)$ . Set

$$\begin{aligned} \gamma_{nk} &:= \min_{c_i} \max_{a^2 \leq x \leq 15/16} \left| 1 - \sum_{k < k_i < 3n/4} c_i x^{k_i - k} (1 - x)^{k - k_i} \right| \\ &= \min_{c_i} \max_{a^2/(1-a^2) \leq y \leq 15} \left| 1 - \sum_{k < k_i < 3n/4} c_i y^{k_i - k} \right|. \end{aligned}$$

Furthermore, denote

$$p_{nk}(x) := f\left(\frac{k}{n}\right)\binom{n}{k} \sum_{k < k_i < 3n/4} c_i^* x^{k_i} (1 - x)^{n - k_i} \in \mathcal{P}(R_n),$$

where the  $c_i^*$ 's are the solutions of the above extremal problem.

Let us estimate  $\gamma_{nk}$  using Lemma 1. Evidently, all the integers  $k_i - k$  are between 1 and  $3n/4 - k$ , while their number  $m$  is  $\geq 3n/4 - k - r_n$ . In addition, both  $r_n = o(\sqrt{n})$  and (3) imply that

$$r_n < \frac{n}{2^8 e} \leq \left(\frac{3n}{4} - k\right) \frac{1}{2^6 e}$$

(since  $1 \leq k$ ,  $\varrho_n \leq n/2$ ). Thus  $m \geq (3n/4 - k)(1 - \theta)$  with  $\theta = 1/2^6 e$ . Now apply Lemma 1 with this  $\theta$ ,  $n$  replaced by  $3n/4 - k$  and

$$\theta_0 = \sqrt{\frac{a^2}{15(1 - a^2)}} > \theta.$$

The latter inequality, as well as the previous condition (8) on  $a$  can be satisfied if  $a$  is close enough to  $1/16e$ .

We obtain that  $\gamma_{nk} \rightarrow 0$  as  $n \rightarrow \infty$  uniformly for every  $1 \leq k \leq n/2$ , i.e.,

$$\gamma_n := \max\{\gamma_{nk} : 1 \leq k \leq n/2\} \rightarrow 0 \quad (n \rightarrow \infty). \tag{9}$$

Set now

$$D_n(x) := B_n^{(2)}(x) - B_n^{**}(x),$$

where

$$B_n^{**}(x) := \sum_{an \leq k \leq n/2} p_{nk}(x) \in \mathcal{P}(R_n).$$

Then for every  $x \in [a^2, 15/16]$  we have

$$|D_n(x)| \leq \|f\| \sum_{an \leq k \leq n/2} \binom{n}{k} x^k (1-x)^{n-k} \gamma_{nk} \leq \gamma_n \|f\|. \quad (10)$$

Note that  $D_n(x)$  is a linear combination of polynomials  $x^k(1-x)^{n-k}$  with  $an \leq k \leq 3n/4$ . Therefore  $D_n$  is  $a$ -incomplete at 0 and  $1/4$ -incomplete at 1. Thus (see the remarks on incomplete polynomials in Section 2)

$$\|D_n\| = \max_{a^2 \leq x \leq 15/16} |D_n(x)|.$$

Hence and by (9)–(10),  $\|D_n\| \rightarrow 0$  ( $n \rightarrow \infty$ ), i.e.,  $\|B_n^{(2)}(f) - B_n^{**}\| \rightarrow 0$  as  $n \rightarrow \infty$ . This completes the proof of sufficiency in Theorems 1 and 2.

For the proof of necessity we need an auxiliary result providing estimates for the coefficients  $c_k$  of a polynomial

$$p_n(x) = \sum_{k=0}^n c_k x^k (1-x)^{n-k}. \quad (11)$$

LEMMA 2. *Given a polynomial  $p_n$  of the form (11) we have*

$$|c_k| \leq \binom{2n}{2k} \|p_n\| \quad (0 \leq k \leq n). \quad (12)$$

*Proof.* Let

$$T_n(x) = \sum_{k=0}^n d_{kn} x^k (x-1)^{n-k}, \quad \|T_n\| = 1,$$

be the Chebyshev polynomial of degree  $n$  transformed to the interval  $[0, 1]$ . Then by Szegő [6, (4.3.2)],

$$d_{kn} = \binom{n-1/2}{k} \binom{n-1/2}{n-k} / \binom{n-1/2}{n} = \binom{2n}{2k} \quad (k = 0, \dots, n). \quad (13)$$

We claim that

$$|c_k| \leq d_{kn} \|p_n\| \quad (k = 0, \dots, n). \quad (14)$$

If  $|c_s| > d_{sn} \|p_n\|$  for some  $0 \leq s \leq n$ , then the polynomial

$$q_s(x) := \frac{T_n(x)}{d_{sn}} - \frac{p_n(x)}{c_s}$$

possesses  $n$  distinct zeros in the open interval  $(0, 1)$ . However,

$$q_s(x) = \sum_{\substack{j=0 \\ j \neq s}}^n a_j x^j (1-x)^{n-j} = (1-x)^n \sum_{\substack{j=0 \\ j \neq s}}^n a_j \left(\frac{x}{1-x}\right)^j$$

can have at most  $n - 1$  zeros in  $(0, 1)$  since  $\{t^j, 0 \leq j \leq n, j \neq s\}$  is an  $n$ -dimensional Chebyshev system on  $(0, \infty)$ . Thus (12) follows from (13)–(14).

*Proof of Necessity in Theorems 1 and 2.* For arbitrary integers  $r_n$  and  $\varrho_n$  such that  $r_n + 2\varrho_n \leq n + 1$ , set  $R_n = \{\varrho_n, \varrho_n + 1, \dots, \varrho_n + r_n - 1\}$ . Then (2) holds. For an arbitrary  $p_n \in \mathcal{P}(R_n)$  we have

$$p_n(x) = \left\{ \sum_{k=0}^{\varrho_n-1} + \sum_{k=\varrho_n+r_n}^n \right\} c_{kn} x^k (1-x)^{n-k} := p_{1,n}(x) + p_{2,n}(x). \tag{15}$$

Moreover, by (12), using again Stirling’s formula

$$|p_{2,n}(x)| \leq \|p_n\| \sum_{k=\varrho_n+r_n}^n \left(\frac{en}{k}\right)^{2k} x^k \leq \|p_n\| \sum_{k=\varrho_n+r_n}^n \left(\frac{e^2 n^2 x}{r_n^2}\right)^k. \tag{16}$$

Assume now that  $p_n(x) \rightarrow 1$  uniformly on  $[0, 1]$ . First let us consider the case when  $\varrho_n = \varrho$  is fixed (Theorem 1), and assume that  $r_n \geq \delta\sqrt{n}$  for a proper subsequence of integers  $n$ , with a  $\delta > 0$ . (In the rest of the proof we tacitly assume that  $n$  is an element of this, or similar subsequence.) Set  $x = t\delta^2/2e^2n$ ,  $0 \leq t \leq 1$ . Then

$$\begin{aligned} p_{1,n}(x) &= (1-x)^{n-\varrho+1} \sum_{k=0}^{\varrho-1} c_{kn} x^k (1-x)^{\varrho-k-1} \\ &= \left(1 - \frac{t\delta^2}{2e^2n}\right)^{n-\varrho+1} q_n(t), \end{aligned}$$



where  $q_n(t)$  is a polynomial of degree at most  $\varrho - 1$ . Furthermore, by (16),

$$\left| p_{2,n} \left( \frac{t\delta^2}{2e^2n} \right) \right| \leq \|p_n\| \sum_{k=\varrho_n+r_n}^n \left( \frac{t}{2} \right)^k \rightarrow 0 \quad \text{uniformly on } 0 \leq t \leq 1.$$

Hence,  $p_{1,n}(t\delta^2/2e^2n) \rightarrow 1$  uniformly on  $0 \leq t \leq 1$ . However,

$$\left( 1 - \frac{t\delta^2}{2e^2n} \right)^{n-\varrho+1} \rightarrow e^{-\alpha t} \quad \left( \alpha = \frac{\delta^2}{2e^2} \right),$$

i.e.,  $q_n(t) \rightarrow e^{\alpha t}$  ( $0 \leq t \leq 1$ ), a contradiction. This verifies the necessary condition in Theorem 1.

Now let  $r_n^2 \geq \beta n \varrho_n$ , where  $\beta > 53$ . Using again (16), we obtain that

$$|p_{2,n}(x)| = o(1) \quad \text{whenever } 0 \leq x \leq \frac{cr_n^2}{n^2} := x_n, \quad (17)$$

with an arbitrary  $0 < c < e^{-2}$ . Therefore for sufficiently large  $n$ 's

$$|p_{1,n}(x)| = (1-x)^{n-\varrho_n+1} |g_n(x)| \leq 2 \quad (0 \leq x \leq x_n), \quad (18)$$

where  $g_n$  is a polynomial of degree  $\leq \varrho_n - 1$ . Thus

$$|g_n(x)| \leq 2 \left( 1 - \frac{x_n}{2} \right)^{-n+\varrho_n-1} \quad (0 \leq x \leq x_n/2).$$

Hence, using the growth properties of Chebyshev polynomials, we obtain

$$|g_n(x)| \leq 2 \left( 1 - \frac{x_n}{2} \right)^{-n+\varrho_n-1} (3 + 2\sqrt{2})^{\varrho_n-1} \quad (0 \leq x \leq x_n).$$

Thus by (18) and  $\varrho_n \leq n/2$

$$\begin{aligned} |p_{1,n}(x_n)| &\leq 2 \left( \frac{1-x_n}{1-x_n/2} \right)^{n-\varrho_n+1} (3 + 2\sqrt{2})^{\varrho_n-1} \\ &\leq \left( 1 - \frac{x_n}{2} \right)^{n-\varrho_n+1} (3 + 2\sqrt{2})^{\varrho_n} \leq e^{(n/2)\log(1-x_n/2)} (3 + 2\sqrt{2})^{\varrho_n} \\ &\leq e^{-cr_n^2/4n} (3 + 2\sqrt{2})^{\varrho_n} \leq e^{(\log(3+2\sqrt{2})-c\beta/4)\varrho_n}. \end{aligned}$$

Since  $\beta > 53$ , when  $c < e^{-2}$  is sufficiently close to  $e^{-2}$  we obtain that  $c\beta/4 > \log(3 + 2\sqrt{2})$ , i.e.,  $p_{1,n}(x_n) \rightarrow 0$  as  $n \rightarrow \infty$ . However, by (17) we

also have  $p_{2,n}(x_n) \rightarrow 0$ , a contradiction. The proof of Theorems 1 and 2 is complete.

Note that in our proof of necessity of Theorems 1 and 2 we deal only with sets  $R_n = \{\varrho_n, \varrho_{n+1}, \dots, \varrho_n + r_n - 1\}$ , since this structure of the set  $R_n$  gives a space  $\mathcal{P}(R_n)$  with worst approximative properties. Thus formulating the necessity parts of Theorems 1 and 2 with these  $R_n$ 's would lead only to a formally more general statement.

Theorems 1 and 2 provide asymptotically sharp conditions on  $\#R_n$  which ensure (1). Of course, the question of exact constant in Theorem 2 remains open. The exact constant can be determined in the special case when  $R_n$  consists of consecutive integers from the "middle" of the sequence  $\{1, \dots, n-1\}$ .

THEOREM 3. *Let*

$$R_n = \{k: [\alpha n] < k < [\beta n]\} \quad (0 < \alpha < \beta < 1).$$

*Then (1) holds if  $(1 - \alpha)^2 + \beta^2 < 1$ , and it fails to hold if  $(1 - \alpha)^2 + \beta^2 > 1$ .*

*Proof.* It is easy to see that  $\mathcal{P}(R_n)$  consists of sums  $p + q$ , where  $p$  and  $q$  are  $\beta$ - and  $(1 - \alpha)$ -incomplete polynomials at 0 and at 1, respectively. Furthermore, any  $f \in C[0, 1]$  can be decomposed into  $f = f_1 + f_2$ , where  $f_1, f_2 \in C[0, 1]$ ,  $f_1 \equiv 0$  on  $[0, \beta^2]$  and  $f_2 \equiv 0$  on  $[1 - (1 - \alpha)^2, 1]$  (supposing  $(1 - \alpha)^2 + \beta^2 < 1$ ). It is known (cf. von Golitschek [1] and Saff and Varga [5]), that  $f_1$  and  $f_2$  can be uniformly approximated on  $[0, 1]$  by  $\beta$ - and  $(1 - \alpha)$ -incomplete polynomials at 0 and at 1, respectively. Thus (1) holds.

Assume now that  $(1 - \alpha)^2 + \beta^2 > 1$  and let  $t_n \in \mathcal{P}(R_n)$ ,  $\|t_n\| \leq 1$  be bounded polynomials. Then  $t_n = p_n + q_n$ , where  $p_n$  and  $q_n$  are  $\beta$ - and  $(1 - \alpha)$ -incomplete polynomials at 0 and at 1, respectively.

*Case 1:*  $\|p_n\| \leq A$  ( $n \in \mathbf{N}$ ). Then we also have  $\|q_n\| \leq A + 1$ . Therefore  $p_n(x) \rightarrow 0$  for  $x \in [0, \beta^2)$  and  $q_n(x) \rightarrow 0$  for  $x \in (1 - (1 - \alpha)^2, 1]$ , i.e.,  $t_n(x) \rightarrow 0$  on  $(1 - (1 - \alpha)^2, \beta^2)$ . Thus (1) cannot hold.

*Case 2:*  $\limsup_{n \rightarrow \infty} \|p_n\| = \infty$ . Then we also have  $\limsup_{n \rightarrow \infty} \|q_n\| = \infty$ . Since  $p_n$  is  $\beta$ -incomplete at 0,  $p_n(x) = o(\|p_n\|)$  uniformly for  $x \in [0, 1 - (1 - \alpha)^2] \subset [0, \beta^2]$ . In addition,  $q_n$  being  $(1 - \alpha)$ -incomplete at 1, it attains its norm on  $[0, 1 - (1 - \alpha)^2]$ , i.e.,  $\|q_n\| = 1 + o(\|p_n\|)$ , a contradiction. Theorem 3 is proved.

3. THE SPACE  $C^*[0, 1]$

According to Theorems 1 and 2 the question of density of the polynomials  $\mathcal{P}(R_n)$  is delicately related to the distance  $\varrho(R_n)$  of the set  $R_n$  from the endpoints of the interval  $[0, n]$ . Therefore it is natural to expect that our problem will have a different solution for the space  $C^*[0, 1]$ . Of course, in this case we do not need to keep the first and last basis function  $(1 - x)^n$  and  $x^n$ . Thus we can choose any  $R_n \subset \{0, \dots, n\}$  and ask whether

$$\lim_{n \rightarrow \infty} \mathcal{P}(R_n) = C^*[0, 1]. \tag{19}$$

If  $\varrho(R_n) \geq cn$  with some  $c > 0$ , then by Theorem 2, (19) holds provided that  $\#R_n \leq Mn$  (with a proper  $M > 0$ ). Since this statement is asymptotically sharp, it remains to consider the situation when  $\varrho(R_n) = o(n)$ . Our next result shows that under this condition the density in  $C^*[0, 1]$  holds in a much more general setting.

**THEOREM 4.** *Let  $\{r_n\}, \{\varrho_n\} (r_n + 2\varrho_n \leq n + 1)$  be increasing sequences of integers and assume  $\varrho_n = o(n)$ . Then in order that for every  $R_n \subset \{0, \dots, n\}$  with (2) the relation (19) holds, it is necessary and sufficient that  $r_n = o(n)$ .*

*Proof.* The proof of sufficiency is essentially a simplified version of the proof of sufficiency in Theorem 2, so we give only an outline of it. Let  $f \in C^*[0, 1]$  and choose an arbitrary  $\varepsilon > 0$ . Then there exists a  $\delta > 0$  depending on  $\varepsilon$  and  $f_\delta \in C^*[0, 1]$  such that  $\|f - f_\delta\| \leq \varepsilon$  and  $f_\delta \equiv 0$  on  $[0, \delta] \cup [1 - \delta, 1]$ . For a sufficiently large  $n$  we also have  $\|f_\delta - B_n(f_\delta)\| \leq \varepsilon$ , where

$$B_n(f_\delta, x) = \sum_{\delta n < k < (1-\delta)n} f_\delta\left(\frac{k}{n}\right) \binom{n}{k} x^k (1-x)^{n-k}.$$

Thus in representation (6) we need to consider only the term  $B_n^{(2)}(f_\delta, x)$  (with  $\delta$  instead of  $a$ ). Then as in the proof of Theorem 2 we can approximate  $B_n^{(2)}(f_\delta, x)$  by polynomials from  $\mathcal{P}(R_n)$  provided that  $r_n < \bar{c}n$  with a proper  $\bar{c}$  depending on  $\delta$ . Since  $r_n = o(n)$ , this relation will hold for sufficiently large  $n$ 's.

In order to prove the necessity assume that  $r_n > dn$  for some  $d > 0$ . Set  $R_n = \{\varrho_n, \varrho_n + 1, \dots, \varrho_n + r_n - 1\}$ . Then for an arbitrary  $p_n \in \mathcal{P}(R_n)$  representation (15) holds. Therefore

$$p_n(x) = (1-x)^{n-\varrho_n} \tilde{p}_{1,n}(x) + x^{[dn]} \tilde{p}_{2,n}(x) \\ (\deg \tilde{p}_{1,n} \leq \varrho_n, \deg \tilde{p}_{2,n} \leq n - [dn]).$$

Assume that  $\|p_n\| \leq 1, n \in \mathbf{N}$ . Since  $\varrho_n = o(n)$  for  $n$  sufficiently large we

have  $n - \varrho_n > bn$ , where  $1 > b^2 > 1 - d^2$ . Now we can repeat the argument used in the proof of Theorem 3 and show that  $p_n(x) \rightarrow 0$  for  $x \in (1 - b^2, d^2)$ . Thus (19) cannot hold, and Theorem 4 is proved.

*Remark.* A possible generalization of Theorem 3 is the case when

$$R_n = \{k: \alpha n \leq k \leq \beta n \text{ or } \gamma n \leq k \leq \delta n\} \quad (0 < \alpha < \beta < \gamma < \delta < 1).$$

We could settle this by using the two-point incomplete polynomial result of He and Li [3].

#### 4. OPEN PROBLEMS

We have already mentioned the question of narrowing the gap between conditions (3) and (4) in Theorem 2. Similarly, in Theorem 4 the case  $(1 - \alpha)^2 + \beta^2 = 1$  is open, but this is easily seen to be equivalent to the study of behavior of  $\theta$ -incomplete polynomials around the point  $\theta^2$ , which is also unsolved (cf. Lorentz [4, p. 43]).

Our results above answer the question of density of  $\mathcal{P}(R_n)$  in terms of  $\#R_n$  and  $\varrho(R_n)$ . A more delicate (and difficult) problem consists in providing necessary and sufficient conditions for (1) to hold in case of an arbitrary sequence  $R_n$ .

Another interesting question is to give necessary and sufficient conditions for a sequence  $\{n_k, m_k\} \in \mathbf{Z}_+^2$  so that  $x^{n_k}(1-x)^{m_k}$  ( $k \geq 1$ ) span  $C^*[0, 1]$ .

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